CHECKLIST FOR DETERMINATION OF SUBRECIPIENT OR CONTRACTOR

(To be completed by UM Principal Investigator (PI) and returned to ORSP)

This form collects information used to document determination of subrecipient or contractor as required by 2 CFR §200.330. https://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl. Although not required under non-Federally funded awards, this form is utilized by the ORSP as a useful tool for determining and documenting the proper relationships between UM and its collaborators under externally sponsored projects.

Name of Outside Entity: _____

Project Title: _____

UM PI: _____ **Proposal No.:** _____

INSTRUCTIONS:

- Check the appropriate boxes under each of the two headings below.
  (The heading with the most checked boxes indicates the most likely type of relationship.)
- Check the appropriate box in Section 2.
- Sign and date the completed form and return to the Office of Research & Sponsored Programs (ORSP).

SECTION 1 – RELATIONSHIP INDICATORS

Indicators of a Subaward Relationship	Indicators of a Contractor Relationship
☐ Its performance is measured in relation to whether its planned contribution to the objectives of the funding agency/sponsor are met	☐ Its performance is measured by whether or not the assigned task is completed or goods/services are delivered
☐ Is responsible for programmatic decision making	☐ Has little or no programmatic decision making
☐ Is responsible for complying with agency/sponsor program requirements	☐ Is not responsible for complying with agency/sponsor program requirements
☐ Goods or services provided are not generally available to the public on a commercial basis	☐ Provides similar goods or services in its normal business operations & to a variety of customers
☐ Uses project funds to carry out a program for a public purpose	☐ Provides goods or services for the benefit of UM as specified in tasks assigned by UM
☐ May retain title to intellectual property it produces under the project	☐ Will not retain title to intellectual property it produces under the project
☐ May produce publications as a result of the project	☐ Will not produce publications as a result of the project

SECTION 2 – RELATIONSHIP DETERMINED TO BE: ☐ SUBRECIPIENT or ☐ CONTRACTOR

If Subrecipient relationship is determined, please complete a "Subrecipient Commitment Form."
https://research.olemiss.edu/sites/default/files/UM%20Subrecipient%20Commitment%20Form%20Rev%2006.13.19_0.pdf

SIGNATURES:

_____ _____

UM Principal Investigator Date ORSP Date