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| **CHECKLIST FOR DETERMINATION OF SUBRECIPIENT OR CONTRACTOR**  |
| *NAME OF OUTSIDE ENTITY: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_* |
| *um PRINCIPAL INVESTIGATOR:* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| *AWARDING AGENCY:* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| *AWARD NUMBER: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_* |

**INSTRUCTIONS:**

* Complete Sections 1 & 2 below by checking all characteristics applicable to the outside entity.

˃ Check the appropriate box in Section 3. (The section with the greatest number of checks indicates the most likely type of relationship. The substance of the relationship is more important than the form of the agreement.)

˃ Sign and date the completed form and return to the Office of Research & Sponsored Programs (ORSP).

***section 1 – subrecipient (2 CFR §200.330 (a))***

[ ]  Determines who is eligible to receive what Federal assistance;

[ ]  Has its performance measured in relation to whether objectives of a Federal program were met;

[ ]  Has responsibility for programmatic decision making;

[ ]  Is responsible for adherence to applicable Federal program requirements specified in the Federal award;

[ ]  Uses the Federal funds to carry out a program for a public purpose as opposed to providing goods or services for the benefit of the pass-through entity (UM);

[ ]  Usually retains title to intellectual property created during the research project; and

[ ]  May produce publications related to the research project.

***section 2 – contractor (2 CFR §200.330 (b))***

[ ]  Provides the goods and services within normal business operations;

[ ]  Provides similar goods or services to many different purchasers;

[ ]  Normally operates in a competitive environment;

[ ]  Provides goods or services for the sponsored project that are ancillary [of minor significance] to the operation of the Federal program;

[ ]  Is not subject to compliance requirements of the Federal program as a result of the agreement, though similar requirements may apply for other reasons;

[ ]  Does not possess ownership of intellectual property created during the research project; and

[ ]  Does not produce publications related to the research project.

***SECTION 3 – RELATIONSHIP DETERMINED TO BE*** ***[ ]  SUBRECIPIENT*** ***[ ]  CONTRACTOR.***

**SIGNATURES:**

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

UM Principal Investigator Date ORSP Date